



AOT  
Department of Health and Human Services  
Substance Abuse and Mental Health Services Administration

Center for Mental Health Services

**Grant Number:** 5H79SM063549-02  
**FAIN:** H79SM063549  
**Program Director:** Karen Yatchum

**Project Title:** Pinellas County - Assisted Outpatient Treatment for Individuals with SMI

**Organization Name:** COUNTY OF PINELLAS

**Business Official:** Mr. Barry Burton

**Business Official e-mail address:** grantscoe@co.pinellas.fl.us

**Budget Period:** 09/30/2019 – 09/29/2020

**Project Period:** 09/30/2018 – 09/29/2022

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$997,160 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to COUNTY OF PINELLAS in support of the above referenced project. This award is pursuant to the authority of Section 224 of PAMA and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at [www.samhsa.gov](http://www.samhsa.gov) (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,  
Lesley Schrier  
Grants Management Officer  
Division of Grants Management

See additional information below

**SECTION I – AWARD DATA – 5H79SM063549-02**

**Award Calculation (U.S. Dollars)**

<b>Personnel(non-research)</b>	\$113,103
<b>Fringe Benefits</b>	\$64,152
<b>Contractual</b>	\$819,905
<b>Direct Cost</b>	\$997,160
<b>Approved Budget</b>	\$997,160
<b>Federal Share</b>	\$997,160
<b>Cumulative Prior Awards for this Budget Period</b>	\$0
<b>AMOUNT OF THIS ACTION (FEDERAL SHARE)</b>	\$997,160

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
2	\$997,160
3	\$997,160
4	\$997,160

\*Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

**Fiscal Information:**

**CFDA Number:** 93.997  
**EIN:** 1596000800A5  
**Document Number:** 16SM63549A  
**Fiscal Year:** 2019

<b>IC</b>	<b>CAN</b>	<b>Amount</b>
SM	C96J670	\$997,160

IC	CAN	2019	2020	2021
SM	C96J670	\$997,160	\$997,160	\$997,160

**SM Administrative Data:**

**PCC:** AOT / **OC:** 4145

**SECTION II – PAYMENT/HOTLINE INFORMATION – 5H79SM063549-02**

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

**SECTION III – TERMS AND CONDITIONS – 5H79SM063549-02**

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

**Treatment of Program Income:**

**Additional Costs**

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

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**SECTION IV – SM Special Terms and Conditions – 5H79SM063549-02**

**REMARKS**

**Continuation Award**

**1. This Notice of Award (NoA) is issued to inform your organization that the application submitted for the *Assisted Outpatient Treatment (AOT)* program is being continued.**

**1a) This award reflects approval of the budget submitted *February 4, 2019* as part of the continuation application by your Organization. This award also reflects acceptance of the responses to the Request for Additional Materials (RAM) received on *May 09, 2019*.**

**2. Key Staff**

Key staff (or key staff positions, if staff has not been selected) are listed below:

***Karen Yatchum, Project Director @ 10% level of effort***

***Annette Christy, Evaluator @ 15% level of effort***

Any changes to key staff including level of effort involving separation from the project for more than three months or a 25 percent reduction in time dedicated to the project requires prior approval and must be submitted as a post-award amendment in eRA Commons.

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For additional information on how to submit a post-award amendment, please visit the SAMHSA website: <https://www.samhsa.gov/grants/grants-management/post-award-changes>. Any technical questions regarding the submission process should be directed to the eRA Service Desk: <http://grants.nih.gov/support/>.

**3. All responses to award terms and conditions and prior approval requests must be submitted as .pdf documents in the View Terms Tracking Details page in eRA Commons.**

For additional information on how to upload a document in response to a tracked term, please reference under heading **4 Additional Materials grantee** in the User Guide located at: [https://era.nih.gov/files/TCM\\_User\\_Guide\\_Granttee.pdf](https://era.nih.gov/files/TCM_User_Guide_Granttee.pdf)

**4. Recipients are expected to plan their work and ensure that funds are expended within the 12-month budget period reflected on this Notice of Award.** If activities proposed in the approved budget cannot be completed within the current budget period, SAMHSA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

## **STANDARD TERMS AND CONDITIONS**

### **Annual Federal Financial Report (SF-425)**

By **December 30, 2020**, submit via eRA Commons.

The Federal Financial Report (FFR) (SF-425) is required on an annual basis and should reflect only cumulative actual Federal funds authorized and disbursed, any non-Federal matching funds (if identified in the Funding Opportunity Announcement (FOA)), unliquidated obligations incurred, the unobligated balance of the Federal funds for the award, as well as program income generated during the timeframe covered by the report. Additional guidance to complete the FFR can be found at <http://www.samhsa.gov/grants/grants-management/reporting-requirements>.

FFR reporting must be entered directly into the eRA Commons system. Instructions on how to submit a Federal Financial Report (FFR) via the eRA Commons is available at <https://www.samhsa.gov/sites/default/files/samhsa-grantee-submit-ffr-10-22-17.pptx>.

### **Annual Programmatic Progress Report**

By **December 30, 2020**, submit via eRA Commons.

The Programmatic Report is required on an annual basis and must be submitted as a .pdf to the View Terms Tracking Details page in the eRA Commons System no later than 90 days after the end of each 12-month incremental period.

The Annual Programmatic Report must, at a minimum, include the following information:

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- Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
  - A summary of key program accomplishments to-date.
  - Description of the changes, if any, that were made to the project that differ from the application for this incremental period.
  - Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to overcome barriers or difficulties.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs.

**The response to this term must be submitted as .pdf documents in the View Terms Tracking Details page in eRA Commons. Please contact your Government Program Official (GPO) for program specific submission information.**

For more information on how to upload a document in response to a tracked term, please reference under heading **4 Additional Materials grantee** in the User Guide located at: [https://era.nih.gov/files/TCM\\_User\\_Guide\\_Granter.pdf](https://era.nih.gov/files/TCM_User_Guide_Granter.pdf)

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

### **Standard Terms for Awards**

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on Page 2 of your Notice of Award. SAMHSA's Terms and Conditions Webpage is located at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

### **Compliance with Award Terms and Conditions**

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH 45 CFR 75.3 71, REMEDIES FOR NON-COMPLIANCE AND 45 CFR 75.372 TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

### **Staff Contacts:**

Mariam Chase, Program Official  
**Phone:** (240) 276-1904 **Email:** [Mariam.Chase@samhsa.hhs.gov](mailto:Mariam.Chase@samhsa.hhs.gov)

Sarah Dayhoff, Grants Specialist  
**Phone:** (240) 276-1688 **Email:** [Sarah.Dayhoff@samhsa.hhs.gov](mailto:Sarah.Dayhoff@samhsa.hhs.gov) **Fax:** (240) 276-1420